



CF-78

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.
Application No.: 10/699,859 Confirmation No.: 5126
Filed : October 31, 2003
For : ELECTRONIC SYSTEMS AND METHODS FOR
PROVIDING A TRADING INTERFACE WITH
ADVANCED FEATURES
Group Art Unit : 3623

New York, New York
February 23, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

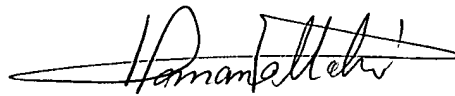
Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

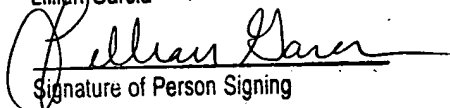
Respectfully submitted,



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Alexandria, VA 22313-1450 on

February 23, 2004
Lillian Garcia



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98,
applicants wish to call the attention of the Examiner to the
following document:

U.S. PATENT

Suzuki et al. 4,141,001 (02/20/79)

The aforementioned document is listed on the
accompanying Form PTO-1449 (submitted in duplicate), and a copy
of the document is enclosed herewith.

It is respectfully requested that this document be
(1) fully considered by the Patent and Trademark Office during

examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

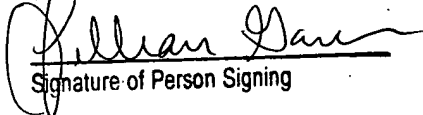


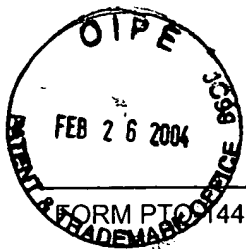
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. CF-78	APPLICATION NO. 10/699,859
	APPLICANTS Howard W. Lutnick et al.	CONFIRMATION NO. 5126
	FILING DATE October 31, 2003	GROUP 3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,141,001	02/20/79	Suzuki et al.	340	711	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.